

Stock Assessment Form

Small Pelagics

Reference Year: 2013

Reporting Year: 2014

[A brief abstract may be added here]

Stock Assessment Form version 1.0 (November 2014)

Anchovy in GSA06 (Northern Spain)

Stock assessment form

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1 Basic Identification Data

Scientific name:	Common name:	ISCAAP Group:
<i>Engraulis encrasicolus</i>	Anchovy	35
1st Geographical sub-area:	2nd Geographical sub-area:	3rd Geographical sub-area:
6		
1st Country	2nd Country	3rd Country
Spain		
Stock assessment method: (direct, indirect, combined, none)		
Direct: Acoustics survey		
Indirect: : Surplus production model (BioDyn package; FAO, 2004)		
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2 Stock identification and biological information

2.1 Stock unit

The assessment of anchovy corresponds to the GSA06 (Northern Spain), but it is not known yet if this is a shared Mediterranean French stock or a complete stock unit. Studies of larvae transport from the Gulf of Lion to Spanish waters suggest that this is a shared stock.

2.2 Growth and maturity

Table 2.2-1: Maximum size, size at first maturity and size at recruitment.

Somatic magnitude measured (LT, LC, etc)			LT	Units	cm
Sex	Fem	Mal	Combined	Reproduction season	Spring-Summer
Maximum size observed			17 (2013) 18.5 (2004-2013)	Recruitment season	Autumn
Size at first maturity			9.65 (2013) 10.01 (2004-2013)	Spawning area	Delta Ebro River
Recruitment size to the fishery			10	Nursery area	Bays

Table 2-2.2: M vector and proportion of matures by size or age (Males)

Size/Age	Natural mortality	Proportion of matures
<i>Edad 0</i>		0.88
<i>Edad 1</i>		100

Table 2-3: Growth and length weight model parameters

		Units	Sex			Years
			female	male	Combined	
Growth model	L_∞	cm			19	2013
	K				0.2985	2013
	t₀				-2.7562	2013
	Data source	CFD 2013				
Length weight relationship	a				0.0034	2013
	b				3.2282	2013
	M (scalar)	0.71			Pauly (1980). Temp.=16,2*	
	sex ratio (% females/total)	57.4				

* Average temperature of the last 50 years to 100 m deep. Removed the surface temperature. MEDAR Group, 2002 - MEDATLAS/2002 database Mediterranean and Black Sea database of temperature salinity and bio-chemical parameters. Climatological Atlas

3 Fisheries information

3.1 Description of the fleet

The current fleet in GSA 06 the Northern Spain is composed by 119 units, average GB is 39.1. About 3% of them are smaller than 12 m (operational Unit 1), 97% > 12 m (operational Unit 2) and 13% are over 24m. The purse seine fleet has been continuously decreasing in the last two decades, from 222 vessels in 1990 to 119 in 2013. They have lost the smallest units.

Anchovy is the main target species of the purse seine fleet in Northern Spain due to its high economic value. Catches in the period 1990-2013 has been highly variable, with a minimum of 1900 tons in 2007 and an average of 11700 tons. Higher catches occurred in the period 1990-94, they were caught between 17000 and 22000 tons. Thereafter it has been continuously decreasing with three recoveries in 2002, 2009 and 2012. In 2013 shows higher catches 17178 t, a similar value to the one in 1990, but it is still not close to the peak of the landings occurred between 1991 and 94. Years with higher landings are usually correlated with a successful and high recruitment period, while unsuccessful recruitment in a given year is correlated with a low level of landings.

The catches evolution is consistent with the result of acoustic assessments.

Data used in the assessment correspond to DCF. Unit of effort has been Effective fishing day

Table 3-1: Description of operational units exploiting the stock

	Country	GSA	Fleet Segment	Fishing Gear Class	Group of Target Species	Species
Operational Unit 1*	Spain	6	G-Purse Seine (6-12 m)	02-Seine Nets	31- Small gregarious pelagic	ANE
Operational Unit 2	Spain	6	H-Purse Seine (>12)	02-Seine Nets	31- Small gregarious pelagic	ANE

Table 3.1-2: Catch, bycatch, discards and effort by operational unit in the reference year

Operational Units*	Fleet (n° of boats)*	Catch (T or kg of the species assessed) Tons	Other species caught (names and weight) Tons	Discards (species assessed)	Discards (other species caught)	Effort (units)
ESP 06 G 02 31-ANE	3	28	Sardine: 107 Trachurus spp: 3 Scomber spp: 5 Sardinella: 0 Otros: 11 Total: 154	negligible	negligible	Effective fishing day for the species
ESP 06 H 02 31-ANE	116	17150	Sardine: 9626 Trachurus spp: 390 Scomber spp: 856 Sardinella: 3439 Otros: 2163 Total: 33600	negligible	negligible	Effective fishing day for the species
Total	119	17178	33754	negligible	negligible	Effective fishing day for the species

Table 3.1-3: Catches and acoustic biomass estimate used in the assessment 1996-2013.

YEAR	Catch (tons)	ACOUSTIC (tons)
1996	13430	4843
1997	12500	12608
1998	9558	2404
1999	9361	5717
2000	7315	13968
2001	8898	31297
2002	14338	
2003	8538	23093
2004	8097	13562
2005	6216	6412
2006	3096	12159
2007	2820	
2008	3532	28767
2009	12137	28090
2010	9886	22305
2011	9534	19405
2012	11434	66948

2013	17178	44874
Average	9326	21028

3.2 Historical trends

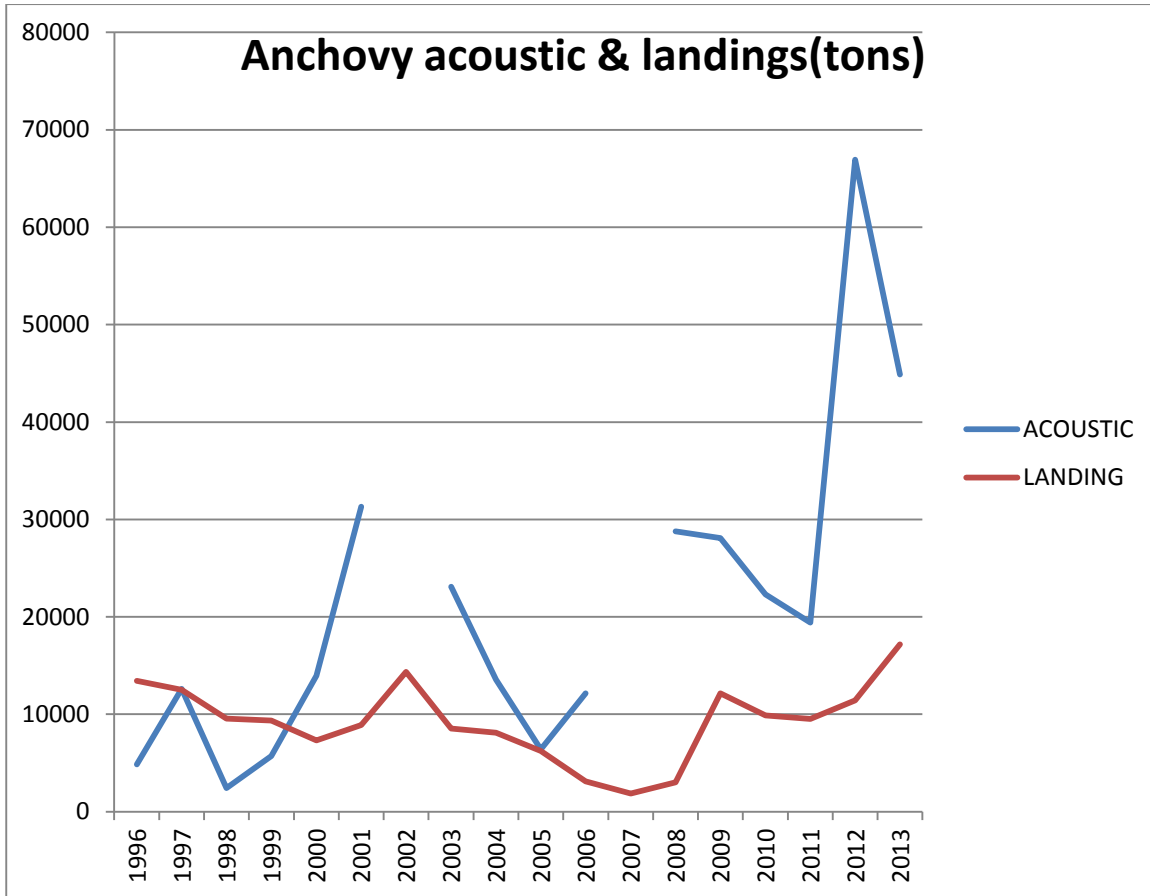


Fig. 3.2.1. Trends in anchovy landing and acoustic surveys, years 1996-2013.

3.3 Management regulations

Regulated by Fishery European regulations REGULATION (EC) N° 1967/2006 of December 21, 2006, with a more restrictive Spanish regulations.

Features gear: Minimum aperture of 14 mm mesh, The height of the purse seine shall not exceed 82 m and the use of purse seines is not allowed at a depth less than 70 percent of the net length, Length net will not exceed more than 300 m except for Alboran Sea which may be up to 450 m.

Characteristics of vessels: No less than 9 m long, maximum power 450 hp, only one auxiliary boat and there is a Regulating for its power lights. **Fishing areas:** prohibited fishing less than 35 m deep, although at a distance of 300 m offshore it is permitted at a lower depth than 50m. There are a forbidden areas to safe anchovy recruitment. **Fishing effort:** No fishing on weekend, restricted

fishing areas and seasonal closures in some regions. **Minimum sizes:** Minimum legal landing size 9 cm. **List of species authorized** to be fished by the gear. A margin of 2% of others species.

4 Fisheries independent information

4.1 Acoustic survey: MEDIAS 2013

4.1.1 Brief description of the chosen method and assumptions used

In the Spanish Mediterranean waters an acoustic survey has been annually carried out since the 90'. Until 2009 the survey (ECOMED) was carried out in late autumn focusing on the anchovy (*Engraulis encrasicolus*) recruitment; since 2009 the acoustic survey season changed to summer in order to standardize with the rest of acoustic surveys carried out by the European countries in Mediterranean Sea and to start the MEDIAS (Mediterranean acoustic surveys) series. The pelagic community is nowadays assessed, focusing on the spawning stock biomass (SSB) for anchovy and the recruitment of sardine. The GFCM Geographical Sub-Area covered are the GSA 06 (Northern Spain) and 01 (Northern Alboran Sea), prospecting the continental shelf (20 to 200 m depth) by means of a scientific echosounder EK60 (Simrad), equipped with 5 frequencies (18, 38, 70, 120 and 200 kHz).

Acoustic data are recorded continuously at a constant ship speed of 10 knots from sunrise to sunset, along parallel equidistant transects lying perpendicular to the bathymetry. The echosounder is calibrated before each survey following standard techniques (Foote et al., 1987).

Midwater pelagic trawls were deployed to determine the species proportions present in the area. Acoustic data are processed using Echoview (Miryax Ltd.) software and PESMA (VisualBasic) software. Echo trace classification is based on echogram visual scrutinisation, usually the allocation is allocation on account of representative fishing station and very few times on direct allocation. Results of biomass (tons) and abundance (nº individuals) are presented by species, length and age.

Direct methods: acoustics

- Specify if numbers are per km² or raised to the area, assuming the same catchability .
- Specify the ageing method or the age slicing procedure applied, specify the maturity scale used.
- In case maturity ogive has not been estimated by year, report information for groups of years.

Table 4.1-1: Acoustic cruise information.

Date	June-July 2013		
Cruise	MEDIAS 2013	R/V	Miguel Oliver
Target species	Anchovy and sardine		
Sampling strategy	66 tracks normal to the coast. Inter-transect distance: 4 or 8 nautical miles		
Sampling season	Summer (29 June - 31 July)		
Investigated depth range (m)	20-200 m depth		
Echo-sounder	Scientific Echo-sounder EK60 equipped with 5		

	frequencies (18, 38, 70, 120 & 200 kHz)
Fish sampler	Pelagic trawls with 10, 16 & 18 m vertical opening
Cod –end mesh size as opening (mm)	20 mm
ESDU (i.e. 1 nautical mile)	Elementary Distance Sampling Unit: 1 nautical mile
TS (Target Strength)/species	-72.6 dB for anchovy and sardine
Software used in the post-processing	SonarData Echoview, PESMA (Visual Basic), ArcGis 9.3
Samples (gear used)	Pelagic trawl
Biological data obtained	Length-weight relationship, age, sex, maturity
Age slicing method	Otolith
Maturity ogive used	

Table 4.1-2: Acoustic results, if available by age or length class

	Biomass in metric tons	fish numbers	Nautical Area Scattering Coefficient	Indicator ...	Indicator ...
2009	28090	2849 millions			
2010	22306	1738 millions			
2011	19405	1666 millions			
2012	66948	8405 millions			
2013	44874	5940 millions			

4.1.2 Spatial distribution of the resources

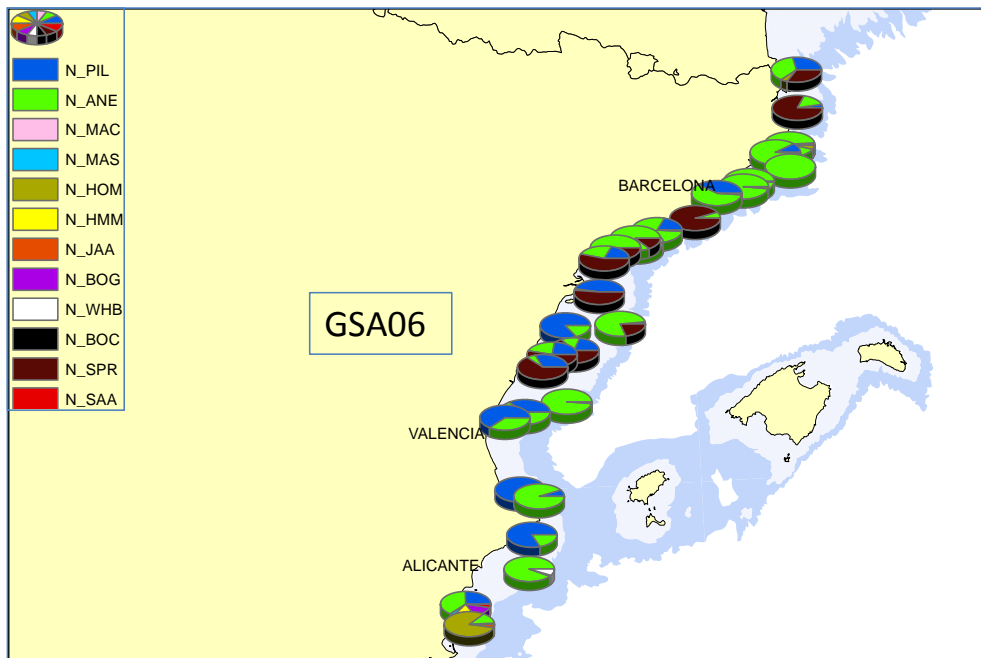


Fig. 4.1.2.1. Proportion of species in MEDIAS hauls

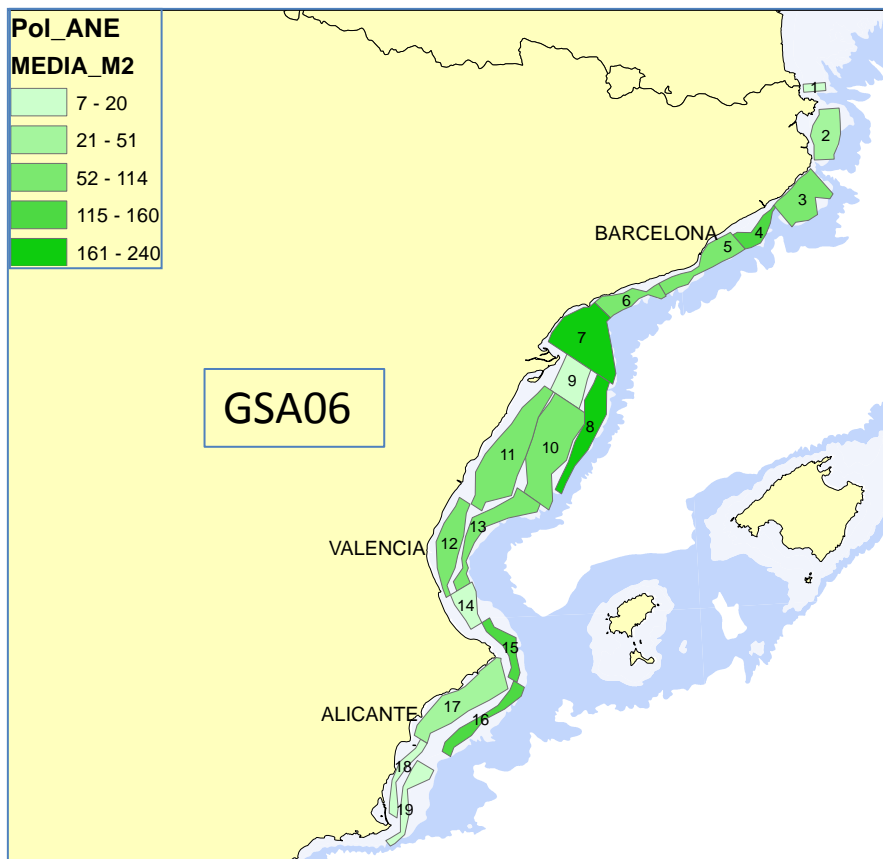


Fig. 4.1.2.2. Medias 2013: Anchovy distribution map.

4.1.2 Historical trends

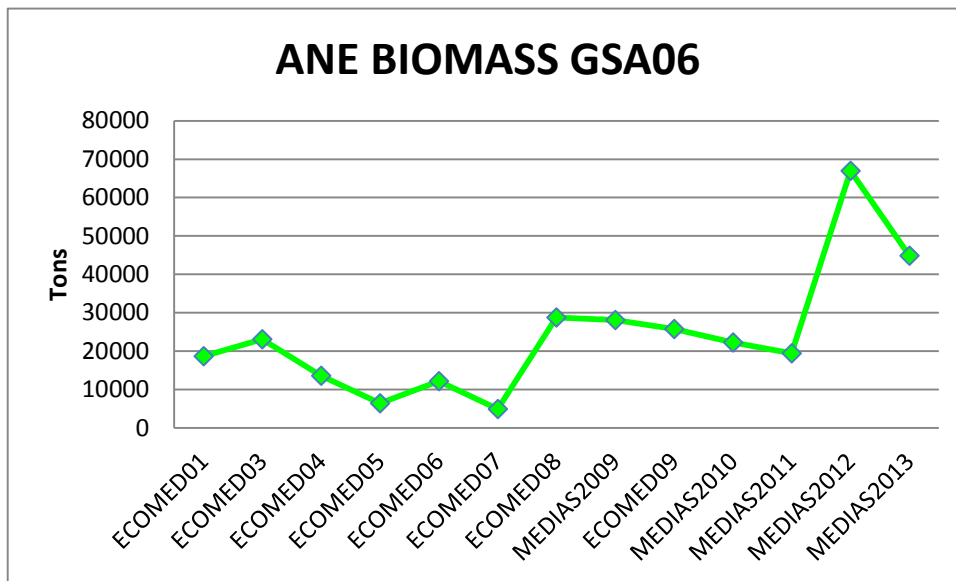
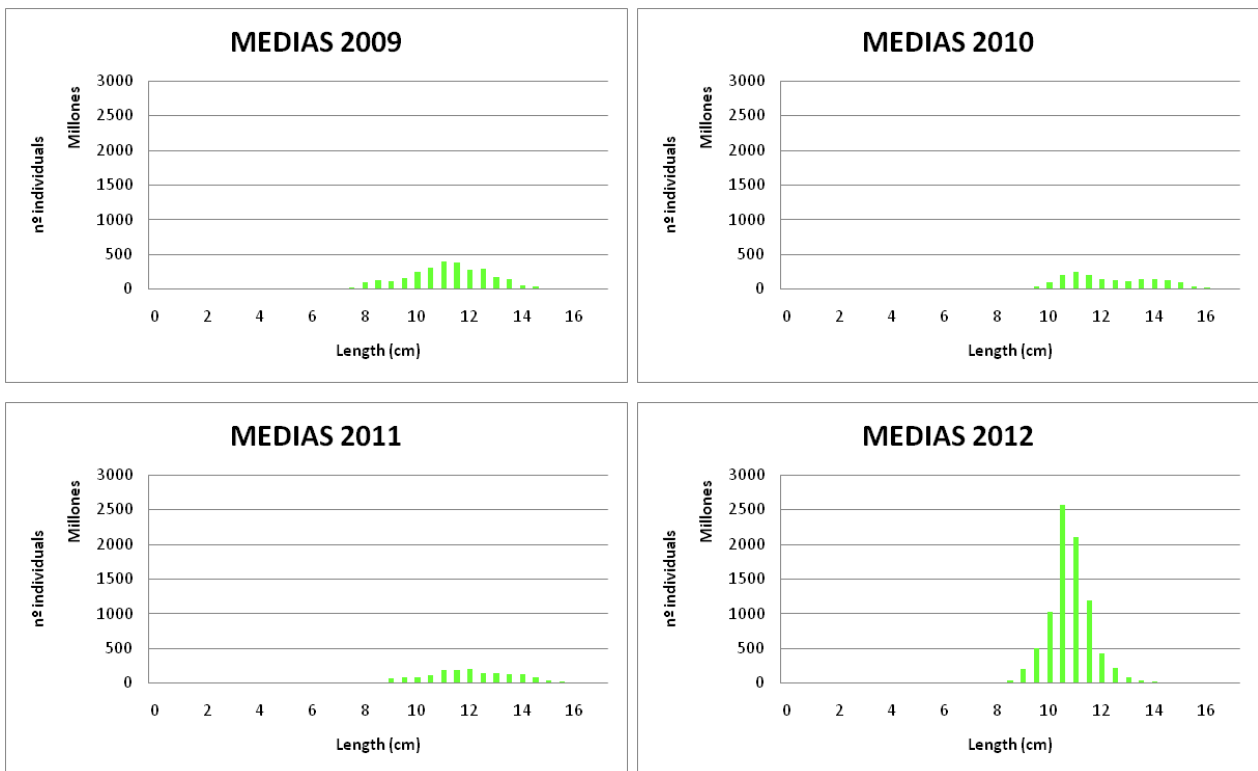


Fig. 4.1.2.1. Biomass estimates for anchovy in GSA06 since 2001. SSB and R decreasing biomass in 2013.



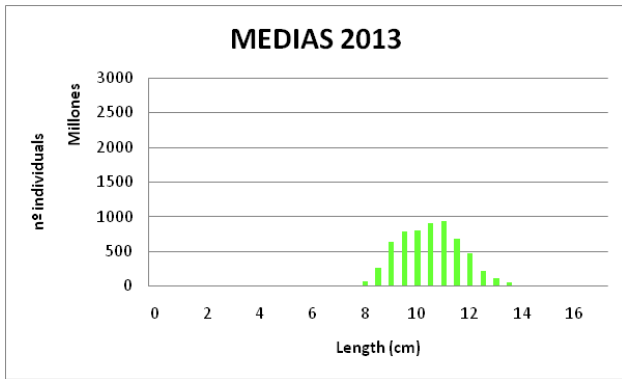


Fig. 4.1.2.2. Anchovy abundance by length class by year.

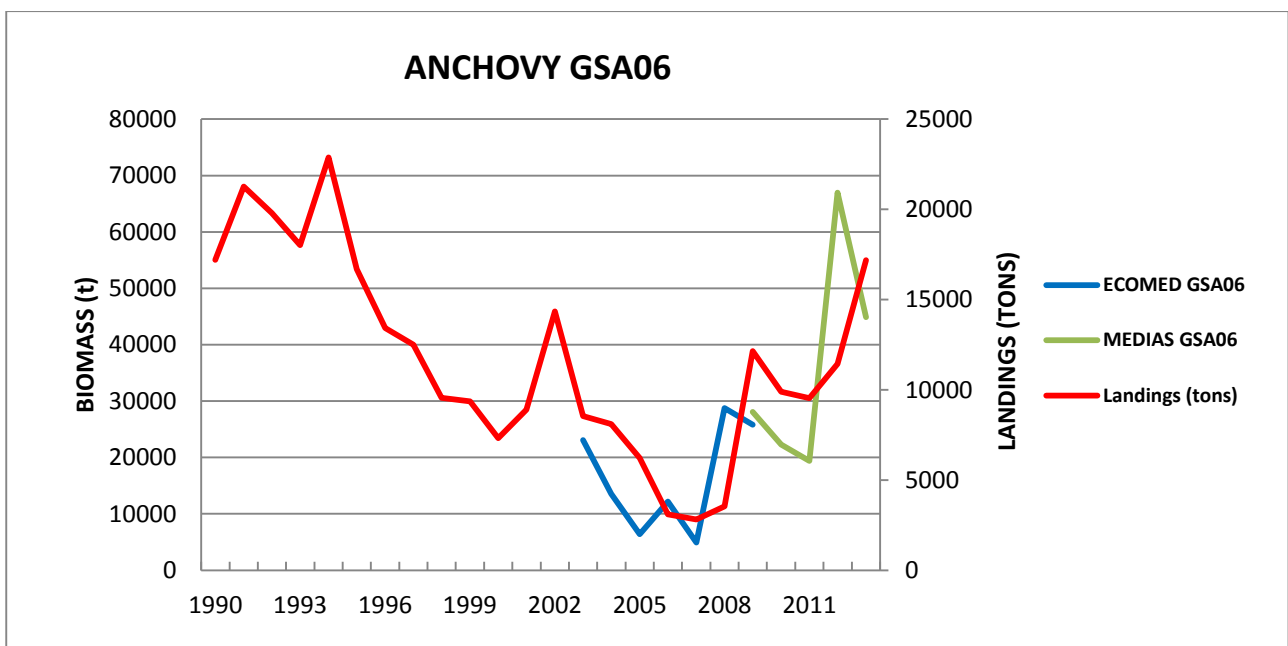


Fig. 4.1.2.3. Landings 1990-2013 and biomass estimates for anchov: Surveys ECOMED 2003-2009, MEDIAS 2009-2013.

Biomass time series assessed in acoustics surveys ECOMED (autumn) and MEDIAS (summer).

4.2 Ecological information

4.3 Protected species potentially affected by the fisheries

A list of protected species that can be potentially affected by the fishery should be incorporated here. This should also be completed with the potential effect and if available an associated value (e.g. bycatch of these species in T).

4.4 Environmental indexes

If any environmental index is used as i) a proxy for recruitment strength, ii) a proxy for carrying

capacity, or any other index that is incorporated in the assessment, then it should be included here.

Other environmental indexes that are considered important for the fishery (e.g. Chl a or other that may affect catchability, etc.) can be reported here.

5 Stock Assessment

A modelling approach based on the fitting of a non-equilibrium surplus production model (BioDyn package; FAO, 2004) on the series of observed abundance indexes, allowing for the optional incorporation of an environmental index, so that the r and/or K parameters of each year can be considered to depend on the corresponding value of the applied index. In the actual case were tested two different environmental indexes: average chlorophyll-a concentration over the continental shelf and North Atlantic Oscillation (NAO), neither of them showed any improvement in the model fit.

5.1.1 Non-equilibrium surplus production model

The anchovy stock in the area was assessed using a non-equilibrium surplus production model based on the Schaefer (logistic) population growth model.

The model was implemented in an MS Excel spreadsheet, modified from the spreadsheets distributed by FAO under the BioDyn package. Details about the implementation of the applied logistic modelling approach can be found in a FAO report on the Assessment of Small Pelagic Fish off Northwest Africa (FAO, 2004).

The report is available at the web site <http://www.fao.org/docrep/007/y5823b/y5823b00.htm>.

The model uses four base parameters:

- virgin biomass K
- intrinsic growth rate of the population r
- initial rate of reduction D (initial biomass related to K)
- catchability q
- All other estimated parameters derive from these four.

5.1.2 Model assumptions

Basic Assumptions:

- Stock can be described solely by its biomass
- “Natural” Rate of change in biomass depends on current biomass only
- There is a maximum biomass that the system can support (K)
- The relative rate of increase of biomass is maximum when the biomass is close to zero, and zero when the biomass is at the maximum level
- Simplest model: Logistic (Schaefer) model

5.1.3 Input data and Parameters

The model uses four base parameters:

- Carrying capacity (or virgin biomass) K
- Population Intrinsic growth rate r
- Initial depletion BI/K (or rate of reduction D), starting biomass related to K .
- Catchability q

Environmental effect is also estimated if included in the model. Given the best parameter estimates, the model calculates the MSY, BMSY and FMSY reference points.

Given the best parameter estimates, the model calculates the MSY, BMSY and FMSY reference points. It also calculates the reference points BRatio, B CurB/ B MSY (the ratio between the estimated biomass for the last year in the data series and BMSY), and FRatio, F Cur/F SYCur (the ratio between the effort actually exerted on the stock in the last year of the data series and the effort that would have produced the sustainable yield in the same year).

The absolute values of FMSY, BMSY and even K must not be considered, since the model provides more accurate estimate for Fratio and Bratio. Trends of these ratios and whether or not they are above/below 100% provide useful information for management purposes.

BRatio, B CurB/ B MSY indicates the current status of the stock biomass in the last year of the data series BCur, relative to the biomass that would produce MSY, BMSY. Values smaller than 100% indicate a stock abundance below BMSY, while values larger than 100% indicate a stock abundance larger than BMSY.

FRatio, F Cur/F SYCur measures the fishing effort in the last year of data available, as a proportion of the fishing effort that would have been necessary to extract the sustainable catch at the Biomass levels estimated for the same year. The value of this ratio is the same as the Yield ratio YRatio, the current yield as a proportion of the sustainable yield at the current stock biomass level, YCur/SYCur. Values below 100% indicate that the catch currently being extracted is lower than the natural production of the stock, and so stock biomass can be expected to increase, while values above 100% suggest that the catch exceeds the production from the stock and so this will decrease next year.

The input data used for the adopted modelling approach was total yearly catch (tons) and a series of abundance indices (acoustic biomass estimates) over the period (1996-2013).

Von-Bertalanffy growth parameters, necessary for the calculation of natural mortality, were estimated with DCF data collected in GSA06 in 2013, running the last version of the program INBIO 2.0 (Sampedro et al., 2005, last update 2012 pers. Comm.). Natural mortality was estimated following Pauly (1980) and a reference exploitation rate $E=0.4$ following Patterson (1992).

Table 6.1.3.1. Parameters limits to minimization, tolerance ratio and parameters calculated by Biodyn. (K in Tons)

Parameter	Initial Value	Tolerance Ratio	Min Value	Max Value	Calculated by Biodyn
R	0.25	5	0.05	1.25	0.92
K	66948	5	13390	3344740	48926
BI/K	40%		0.5	0.95	40%

5.1.4 Results

The results based on the implementation of a non-equilibrium logistic surplus production model, are consistent with the previous considerations about trends observed in the acoustic surveys. Biodyn shows a current stock of 24423 tons and the acoustic survey 44874 tons both for 2013. The fishery would be in overexploitation. Furthermore, the exploitation rate corresponding to $F=0.70$ and $M=0.71$, estimated with Pauly (1980) empirical equation, is $E=0.50$ which is higher than the reference point for the exploitation rate of 0.4 suggested by Patterson (1992), so this stock would be considered as being overexploited and with a fishing mortality higher than the previous year, $F_{cur}/F_{0.1}=1.69$ meaning a intermediate overfishing for 2013 and it was 0.78 for 2012.

Table 6.1.4.1. Reference points

MSY	BMSY	FMSY	F0.1	FCur	BCur/BMSY	Fcur/FSYCur	Fcur/FMSY
11294	24463	0.46	0.42	0.70	1	1.52	1.52

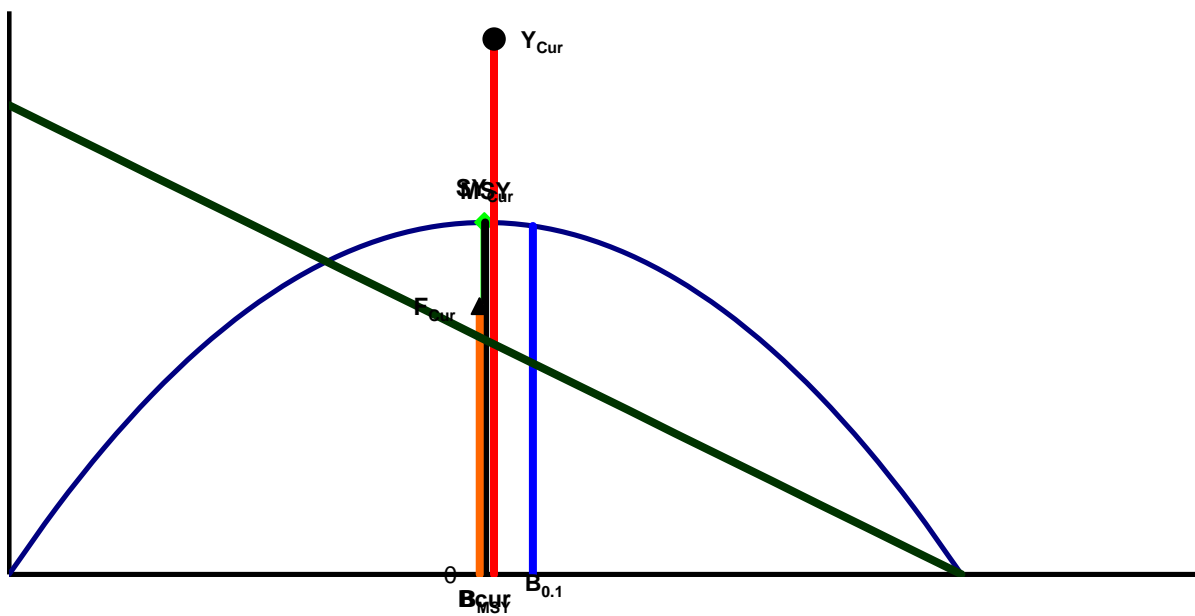


Fig. 6.1.4.1. Stock current situation

5.1.5 Assessment quality

The quality of input data is excellent and the obtained output is satisfactory. Hence the results of the adopted modeling approach are consistent with those ones obtained from the acoustic surveys series.

The goodness of the best fit obtained using the surplus production modeling approach is also satisfactory ($R_{\text{pearsonIndex}}=0.60$). Pearson linear regression coefficient will not detect a non-linear relation, but will measure how closely the predicted abundance indices follow the observed ones. This plot presents, in a graphical way, the relation between the Abundance Index observed (or given to the model) and the Abundance index estimated by the model, on the basis of the estimated biomass. The desirable characteristic for this plot is a linear relation between the predicted and observed indices, with slope 1.

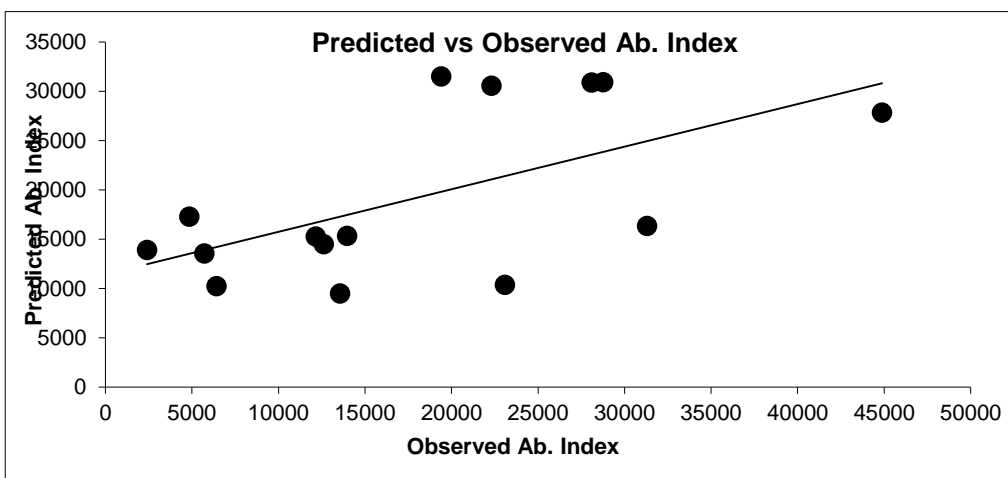


Figure 6.1.4.2. Plot of the relation between the predicted and the observed abundance indices. This plot can be used to detect severe deviations from the linear relationship between the observed abundance indices and those predicted by the model.

6 Stock predictions

6.1 Short term predictions

6.2 Medium term predictions

6.3 Long term predictions

7 Draft scientific advice

(Examples in blue)

Based on	Indicator	Analytic al reference point (name and value)	Current value from the analysis (name and value)	Empirical reference value (name and value)	Trend (time period)	Status
Fishing mortality	Fishing mortality	($F_{0.1}$, = 0.70 F_{max} = value)			N	IO_L
	Fishing effort	0.70			D	
	Catch	17178 tons				
Stock abundance	Biomass			33 th percentile		O_L
	SSB					
Recruitment					D	
Final Diagnosis	Overexploited and with an intermediate overfishing					

State the rationale behind that diagnoses, explaining if it is based on analytical or on empirical references

7.1 *Explanation of codes*

Trend categories

- 1) N - No trend
- 2) I - Increasing
- 3) D – Decreasing
- 4) C - Cyclic

Stock Status

Based on Fishing mortality related indicators

- 1) **N - Not known or uncertain** – Not much information is available to make a judgment;
- 2) **U - undeveloped or new fishery** - Believed to have a significant potential for expansion in total production;
- 3) **S - Sustainable exploitation**- fishing mortality or effort below an agreed fishing mortality or effort based Reference Point;
- 4) **IO –In Overfishing status**– fishing mortality or effort above the value of the agreed fishing mortality or effort based Reference Point. An agreed range of overfishing levels is provided;

Range of Overfishing levels based on fishery reference points

In order to assess the level of overfishing status when $F_{0.1}$ from a Y/R model is used as LRP, the following operational approach is proposed:

- If $F_c^*/F_{0.1}$ is below or equal to 1.33 the stock is in **(O_L): Low overfishing**
- If the $F_c/F_{0.1}$ is between 1.33 and 1.66 the stock is in **(O_I): Intermediate overfishing**
- If the $F_c/F_{0.1}$ is equal or above to 1.66 the stock is in **(O_H): High overfishing**

* F_c is current level of F

- 5) **C- Collapsed**- no or very few catches;

Based on Stock related indicators

- 1) **N - Not known or uncertain**: Not much information is available to make a judgment
- 2) **S - Sustainably exploited**: Standing stock above an agreed biomass based Reference Point;
- 3) **O - Overexploited**: Standing stock below the value of the agreed biomass based Reference Point. An agreed range of overexploited status is provided;

Empirical Reference framework for the relative level of stock biomass index

- **Relative low biomass**: Values lower than or equal to 33rd percentile of biomass index in the time series **(O_L)**

- **Relative intermediate biomass:** Values falling within this limit and 66th percentile (**O_I**)
- **Relative high biomass:** Values higher than the 66th percentile (**O_H**)

- 4) **D–Depleted:** Standing stock is at lowest historical levels, irrespective of the amount of fishing effort exerted;
- 5) **R –Recovering:** Biomass are increasing after having been depleted from a previous period;

Agreed definitions as per SAC Glossary

Overfished (or overexploited) - A stock is considered to be overfished when its abundance is below an agreed biomass based reference target point, like $B_{0.1}$ or B_{MSY} . To apply this denomination, it should be assumed that the current state of the stock (in biomass) arises from the application of excessive fishing pressure in previous years. This classification is independent of the current level of fishing mortality.

Stock subjected to overfishing (or overexploitation) - A stock is subjected to overfishing if the fishing mortality applied to it exceeds the one it can sustainably stand, for a longer period. In other words, the current fishing mortality exceeds the fishing mortality that, if applied during a long period, under stable conditions, would lead the stock abundance to the reference point of the target abundance (either in terms of biomass or numbers)

References

- Patterson, K. (1992). Fisheries for small pelagic species: an empirical approach to management targets. *Review of Fish Biology and Fisheries*, 2: 321-338.
- Pauly, D. (1980). On the interrelationships between natural mortality, growth parameters and mean environmental temperature in 175 fish stocks. *J. Cons. Int. Explor. Mer*, 39 (3): 175-192.
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- Prager, H.G., 2005. User's Manual for ASPIC: A Stock-Production Model Incorporating Covariates (ver. 5) And Auxiliary Programs. National Marine Fisheries Service. Beaufort Laboratory Document BL–2004–01